EXPRESS MAIL LABEL NO.: EL804518883US DATE: February 8, 2002

FORM PTO 1390 U.S. DEPARTMEN	T OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER							
(REV 11-2000)	TRANSMITTAL LETTER TO THE UNITED STATES								
DESIGNATED/ELECTED OFFICE (DO/EO/US)		US APPLICATION NO. (If known, see 37 CFR 1.5)							
CONCERNING A FILIN	CONCERNING A FILING UNDER 35 U.S.C. 371								
INTERNATIONAL APPLICATION NO PCT/JP01/05326	21 June 2001	PRIORITY DATE CLAIMED 21 June 2000							
		JCING METHOD AND INFORMATION							
RECORDING AND/OR REPRODUCING DEVICE									
APPLICANT(S) FOR DO/EO/US Tomoyuki Asano, Yoshitomo Osawa, Ryuji Ishiguro, Atsushi Mitsuzawa, and Tateo Oishi									
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:									
1. x This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.									
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing 35 U.S.C. 371									
his is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.									
4. The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).									
5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2))									
a. is attached hereto (required only if not communicated by the International Bureau).									
b. x has been communicated by the International Bureau.									
c. is not required, as the appli	c. is not required, as the application was filed in the United States Receiving Office (RO/US).								
6. X An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).									
a. x is attached hereto.									
b. has been previously submit	b. has been previously submitted under 35 U.S.C. 154(d)(4).								
7. X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))									
a. are attached hereto (required only if not communicated by the International Bureau).									
b. have been communicated by the International Bureau.									
have not been made; however, the time limit for making such amendments has NOT expired.									
l', ' ==	, ' 								
8. An English language translation									
l <u> </u>	10. An English language translation of the annexes to the International Preliminary Examination Report under PCT								
Items 11 to 20 below concern document(s) or information included:									
11. x An Information Disclosure Stat	ement under 37 CFR 1.97 and 1.98. w/PTG	O-1448, 11 references							
12. An assignment document for re	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13. A FIRST preliminary amendme	A FIRST preliminary amendment.								
14. A SECOND or SUBSEQUENT	A SECOND or SUBSEQUENT preliminary amendment.								
15. A substitute specification.	. A substitute specification.								
16. A change of power of attorney a	A change of power of attorney and/or address letter.								
17. A computer-readable form of th	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.								
									
19. A second copy of the English la	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20. x Other items or information: Co	I The state of the								
		-							

U.S. APPLICATION NO (if known, see 27 CER 1.5) INTERNATIONAL APPLICATION NO ATTORNEY'S DOCKET NUMBER									
10/049434		PCT/JP01/05326			SONYJP-181				
21. x The following fees are submitted:						CALCULATIONS PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):									
Neither international preliminary examination fee (37 CFR 1.482)									
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040 00									
x International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890 00									
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$740.00									
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00									
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)									
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$	890.00			
Surcharge of \$	for furnishing	the oath or declaration	later tha	an	\$				
20 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).									
CLAIMS	NUMBER FILED	NUMBER EXTRA	RA	ATE					
Total claims	38-20 =	18	x	18.00	\$	324.00			
Independent claims	11-3 =	8	x	84.00	\$	672.00			
MULTIPLE DEPENDE		<u> </u>	+		\$				
Annlicant claims s	mall entity status. See 3	OF ABOVE CALCU			\$	1,886.00			
are reduced by ½.	man entity status. See 3	/ CFK 1.2/. The fees	marcare	u above	\$				
		S	UBTOT	AL =	\$	1,886.00			
Processing fee of \$	for furnis	shing the English transla	tion later	than	r.				
20 30 month	s from the earliest claime	ed priority date (37 CFR	1.492 (f))). +	\$				
TOTAL NATIONAL FEE =						1,886.00			
Fee for recording the end	closed assignment (37 C			EL	\$	1,000.00			
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) + \$\frac{1}{3}\$									
(per prop	erty).								
		TOTAL FEES E	NCLOS	ED =	\$	1,886.00			
						Amount to be Refunded:	\$		
						Charged:	\$		
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. x Please charge my Deposit Account No. 12-1095 in the amount of \$ 1,886.00 to cover the above fees. A duplicate copy of this sheet is enclosed.									
c. x The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. 12-1095 . A duplicate copy of this sheet is enclosed.									
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPONDE	NCF TO		'/.	\mathcal{L}_{i} s	$\mathscr{M}_{\mathcal{L}}$	0//			
Robert B. Cohen SIGNATURE:									
LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP						B Cohen			
600 South Avenue West NAME									
Westfield, New Jersey 07090 (908) 518-6316 32,768									
REGISTRATION NU									
